

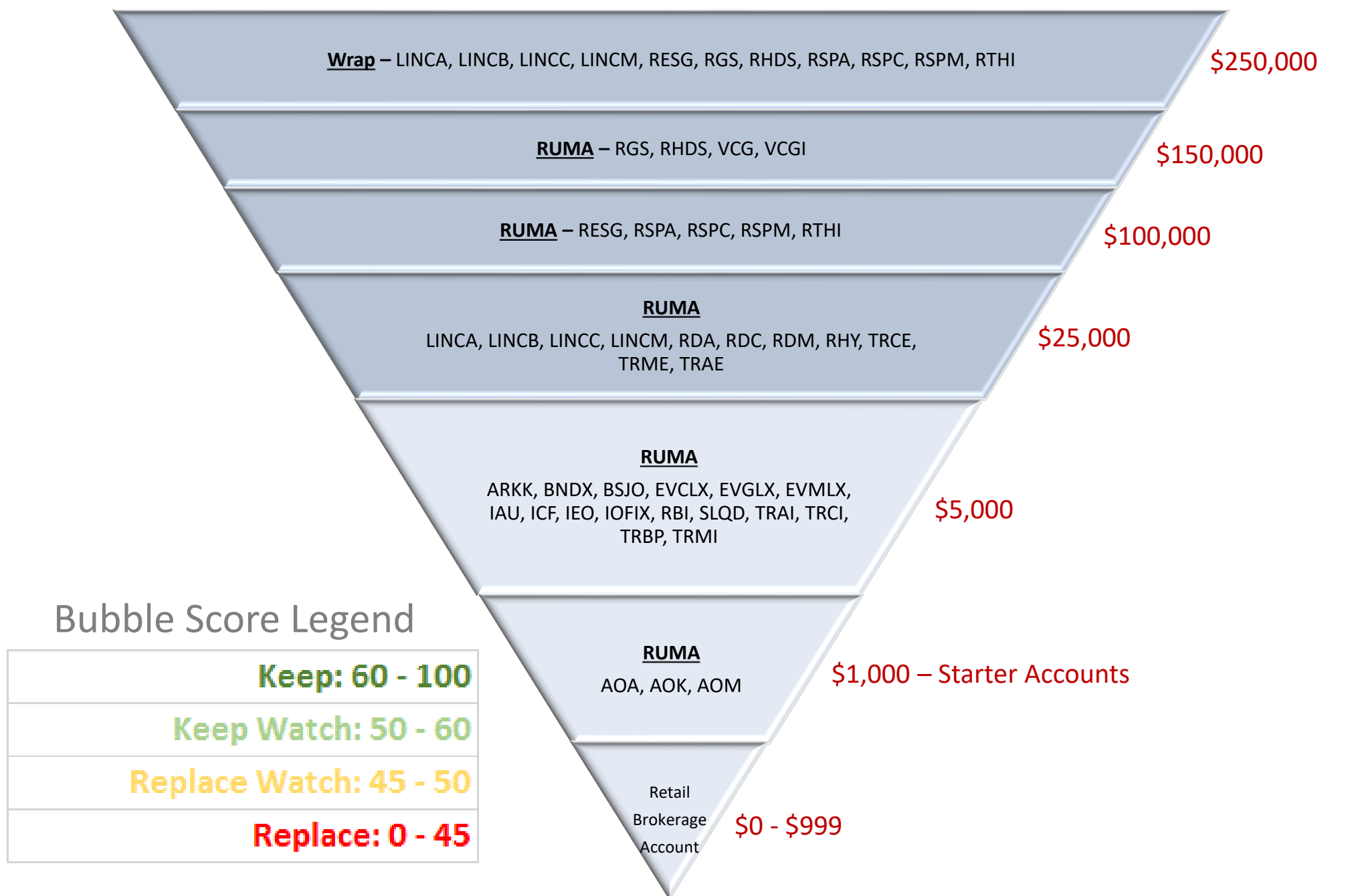


REDHAWK[®]
WEALTH ADVISORS

Investment Matrix

01/17/2020

Minimums



Bubble Score Legend

Keep: 60 - 100

Keep Watch: 50 - 60

Replace Watch: 45 - 50

Replace: 0 - 45

Wrap (\$250k minimum)

Overview	Conservative Risk#: 1-19	Moderate Conservative Risk#: 20-39	Moderate Risk#: 40-59	Moderate Aggressive Risk#: 60-79	Aggressive Risk#: 80-99
Ticker (Risk/Bubble) Manager Minimum Investments	LINCC (1/95.70) Redhawk Wealth Advisors \$250,000/None US Bonds	RTHI (24/94.40) Redhawk Wealth Advisors \$250,000 US Equities Dividends, Int'l Equities Dividends, High Yield Bonds	RSPM (55/97.10) Redhawk Wealth Advisors \$250,000 US Equities, Int'l Equities, Taxable Fixed Income	RSPA (70/95.60) Redhawk Wealth Advisors \$250,000 US Equities, Int'l Equities, Taxable Fixed Income, Liquid Alternatives	RGS (87/84.97) Redhawk Wealth Advisors \$250,000 US and International Large- Cap and SMID-Cap Stocks.
Ticker (Risk/Bubble) Manager Minimum Investments	LINCM (15/89.10) Redhawk Wealth Advisors \$250,000 US Bonds	LINCB (30/78.80) Redhawk Wealth Advisors \$250,000 US Municipal Bonds		RHDS (70/89.09) Redhawk Wealth Advisors \$250,000 Dividend Producing US Large-Cap and SMID-Cap Stocks.	
Ticker (Risk/Bubble) Manager Minimum Investments	LINCA (15/87.20) Redhawk Wealth Advisors \$250,000 US and International Bonds	RSPC (35/98.10) Redhawk Wealth Advisors \$250,000 US Equities and Taxable Fixed Income		RESG (70/82.80) Redhawk Wealth Advisors \$250,000 Environmental, Social, and Governance Responsible Funds.	
Ticker (Risk/Bubble) Manager Minimum Investments					
Ticker (Risk/Bubble) Manager Minimum Investments					

RUMA – Income

Overview	Conservative Risk#: 1-19	Moderate Conservative Risk#: 20-39	Moderate Risk#: 40-35	Moderate Aggressive Risk#: 60-79	Aggressive Risk#: 80-99
Ticker (Risk/Bubble) Manager Minimum Investments	LINCC (1/95.70) Redhawk Wealth Advisors \$25,000/None US Bonds	RHY (21/93.40) Redhawk Wealth Advisors \$25,000 Various Fixed Income Sub-categories	BSJO (32/68.00) Invesco \$5,000 U.S. Investment Grade Corporate Bonds with 2024 Maturities	RHDS (70/89.09) Redhawk Wealth Advisors \$250,000 Dividend US Large-Cap and SMID-Cap Stocks.	ICF (92/55.40) BlackRock iShares \$5,000 U.S. REITs Income
Ticker (Risk/Bubble) Manager Minimum Investments	SLQD (1/98.00) BlackRock iShares \$5,000 U.S. Investment Grade Corporate Debt	BNDX (21/57.80) Vanguard \$5,000 International Bonds	TRBP (47/81.80) Victoria Capital \$5,000 Dividend, High Yield, Corp. & Government Bond ETFs		
Ticker (Risk/Bubble) Manager Minimum Investments	LINCM (15/89.10) Redhawk Wealth Advisors \$25,000 US Bonds	RBI (24/88.07) Redhawk Wealth Advisors \$5,000 Various Fixed Income Sub-categories			
Ticker (Risk/Bubble) Manager Minimum Investments	LINCA (15/87.20) Redhawk Wealth Advisors \$25,000 US and International Bonds	RTHI (24/94.40) Redhawk Wealth Advisors \$100,000 Various Fixed Income Sub-categories			
Ticker (Risk/Bubble) Manager Minimum Investments		IOFIX (26/98.00) Alphacentric Funds \$5,000 Securitized Fixed Income			
Ticker (Risk/Bubble) Manager Minimum Investments		LINCB (30/78.80) Redhawk Wealth Advisors \$25,000 US Municipal Bonds			

RUMA – Tactical

Overview	Conservative Risk#: 1-19	Moderate Conservative Risk#: 20-39	Moderate Risk#: 40-59	Moderate Aggressive Risk#: 60-79	Aggressive Risk#: 80-99
Ticker (Risk/Bubble) Manager Minimum Investments		RDC (34/94.80) Redhawk Wealth Advisors \$25,000 US Equities and Taxable Fixed Income	RDM (55/98.30) Redhawk Wealth Advisors \$25,000 US Equities and Taxable Fixed Income	RDA (68/94.70) Redhawk Wealth Advisors \$25,000 US Equities, Int'l Equities, Taxable Fixed Income.	RGS (87/84.97) Redhawk Wealth Advisors \$150,000 US and International Large- Cap and SMID-Cap Stocks.
Ticker (Risk/Bubble) Manager Minimum Investments		RSPC (35/98.10) Redhawk Wealth Advisors \$100,000 US Equities and Taxable Fixed Income	RSPM (55/97.10) Redhawk Wealth Advisors \$100,000 US Equities, Int'l Equities, Taxable Fixed Income	RSPA (70/95.60) Redhawk Wealth Advisors \$100,000 US Equities, Int'l Equities, Taxable Fixed Income, Liquid Alternatives	
Ticker (Risk/Bubble) Manager Minimum Investments					

RUMA - Strategic

Overview	Conservative Risk#: 1-19	Moderate Conservative Risk#: 20-39	Moderate Risk#: 40-59	Moderate Aggressive Risk#: 60-79	Aggressive Risk#: 80-99
Ticker (Risk/Bubble) Manager Minimum Investments		AOK (28/73.84) Blackrock iShares \$1,000 US, International, Fixed Income	VCGI (48/80.95) Victoria Capital \$150,000 US Sector Based Stocks and Bond ETFs	TRME (60/65.00) Victoria Capital \$25,000 US, Int'l, Fixed Income, High Yield ETFs	VCG (89/74.30) Victoria Capital \$150,000 US Sector Based Stocks
Ticker (Risk/Bubble) Manager Minimum Investments		EVCLX (32/77.40) E-Valuator Funds \$5,000 Fixed Income Securities and Equity Securities	EVMLX (52/47.20) E-Valuator Funds \$5,000 Fixed Income Securities and Equity Securities	AOA (67/86.02) Blackrock iShares \$1,000 US, International, Fixed Income	
Ticker (Risk/Bubble) Manager Minimum Investments		TRCI (34/63.00) Victoria Capital \$5,000 US, Int'l Equity, Fixed Income, High Yield ETFs	TRMI (59/70.90) Victoria Capital \$5,000 US, Int'l, Fixed Income, High Yield Index ETFs	EVGLX (69/56.00) E-Valuator Funds \$5,000 Fixed Income Securities and Equity Securities	
Ticker (Risk/Bubble) Manager Minimum Investments		AOM (37/82.52) Blackrock iShares \$1,000 US, International, Fixed Income		RESG (70/82.80) Redhawk Wealth Advisors \$100,000 Environmental, Social, & Governance	
Ticker (Risk/Bubble) Manager Minimum/Max. Investments		TRCE (37/69.20) Victoria Capital \$25,000 US, Int'l Equity, Fixed Income, High Yield ETFs		TRAE (79/83.40) Victoria Capital \$25,000 US, Int'l Equity, Fixed Income, High Yield ETFs	
Ticker (Risk/Bubble) Manager Minimum/Max. Investments				TRAI (79/74.20) Victoria Capital \$5,000 US, Int'l, Fixed Income, High Yield Index ETFs	

RUMA - Liquid Alternatives

Overview	Conservative Risk#: 1-19	Moderate Conservative Risk#: 20-39	Moderate Risk#: 40-59	Moderate Aggressive Risk#: 60-79	Aggressive Risk#: 80-99
Product (Risk/Bubble) Manager Minimum Investments				IAU (76/33.40) BlackRock iShares \$5,000 Gold Trust	IEO (93/88.40) BlackRock iShares \$5,000 Energy Oli & Gas
Product (Risk/Bubble) Manager Minimum Investments					ARKK (95/50.30) American Beacon \$5,000 Innovative Companies Including Bitcoin
Product (Risk/Bubble) Manager Minimum Investments					
Product (Risk/Bubble) Manager Minimum Investments					
Product (Risk/Bubble) Manager Minimum Investments					

Held Away Open Investment Platform - FIAs

Overview	Conservative Risk#: 1-19	Conservative Risk#: 1-19	Moderate Conservative Risk#: 20-39	Moderate Aggressive Risk#: 60-79	Aggressive Risk#: 80-99
Carrier (Risk Score) Symbol Product Index Minimum Type	Allianz (1) #ALRFBAC1 Retirement Foundation Bloomberg US Dynamic Balance II \$10,000 Fixed Index Annuity (PtP Cap at Issue)	Allianz (1) #ALRFNAC2 Retirement Foundation NASDAQ 100 \$10,000 Fixed Index Annuity (PtP Cap in Force)			
Carrier (Risk Score) Symbol Product Index Minimum Type	Allianz (1) #ALRFBAC2 Retirement Foundation Bloomberg US Dynamic Balance II \$10,000 Fixed Index Annuity (PtP Cap in Force)	Allianz (1) #ALRFRAC1 Retirement Foundation Russell 2000 \$10,000 Fixed Index Annuity (PtP Cap at Issue)			
Carrier (Risk Score) Symbol Product Index Minimum Type	Allianz (1) #ALRFNAC1 Retirement Foundation NASDAQ 100 \$10,000 Fixed Index Annuity (PtP Cap at Issue)	Allianz (1) #ALRFRAC2 Retirement Foundation Russell 2000 \$10,000 Fixed Index Annuity (PtP Cap in Force)			

Standard Open Investment Platform - Notes

Overview	Conservative Risk#: 1-19	Moderate Conservative Risk#: 20-39	Moderate Risk#: 40-59	Moderate Aggressive Risk#: 60-79	Aggressive Risk#: 80-99
Sponsor (Risk Score) Symbol Note Holder Product Minimum Type	First Trust (1) #SPCYS31 Citigroup 5-Year Autocallable Yield Structured Note (Worst of INDU, NDX, or RTY) \$25,000 Income Buffered Note		First Trust (50) #SPCYUJ3 Citigroup 10% Buffered Note \$25,000 Growth SPX Buffered Note	First Trust (75) #SPCYJH0 Citigroup 10% Buffered Note \$25,000 Growth RTY Buffered Note	First Trust (88) #SPCYHD1 Citigroup 10% Buffered Note \$25,000 Growth EEM Buffered Note
Sponsor (Risk Score) Symbol Note Holder Product Minimum Type	First Trust (1) #SPCYFF8 Citigroup Point-to-Point Structured Note \$25,000 Growth EFA Buffered Note				
Sponsor (Risk Score) Symbol Note Holder Product Minimum Type					

Glossary

Symbol	Ticker	Sub-Advisor	Category	Product Name
#ALRFBAC1	#ALRFBAC1	Allianz	HOIP - FIA	Retirement Foundation Bloomberg US Dynamic Balance II (PtP Cap at Issue)
#ALRFBAC2	#ALRFBAC2	Allianz	HOIP - FIA	Retirement Foundation Bloomberg US Dynamic Balance II (PtP Cap in Force)
#ALRFNAC1	#ALRFNAC1	Allianz	HOIP - FIA	Retirement Foundation NASDAQ 100 (PtP Cap at Issue)
#ALRFNAC2	#ALRFNAC2	Allianz	HOIP - FIA	Retirement Foundation NASDAQ 100 (PtP Cap in Force)
#ALRFRAC1	#ALRFRAC1	Allianz	HOIP - FIA	Retirement Foundation Russell 2000 (PtP Cap at Issue)
#ALRFRAC2	#ALRFRAC2	Allianz	HOIP - FIA	Retirement Foundation Russell 2000 (PtP Cap in Force)
#SPCYS31	#SPCYS31	First Trust	OIP - Notes	Citigroup Autocallable Yld Str Note (Worst INDU, NDX, or RTY)
#SPCYFF8	#SPCYFF8	First Trust	OIP - Notes	Citigroup Point-to-Point Structured Note (Growth EFA)
#SPCYUJ3	#SPCYUJ3	First Trust	OIP - Notes	Citigroup 10% Buffered Note (Growth SPX)
#SPCYJH0	#SPCYJH0	First Trust	OIP - Notes	Citigroup 10% Buffered Note (Growth RTY)
#SPCYHD1	#SPCYHD1	First Trust	OIP - Notes	Citigroup 10% Buffered Note (Growth EEM)
AOA	AOA	Blackrock iShares	RUMA - Strategic	iShares Core Aggressive Allocation ETF
AOK	AOK	Blackrock iShares	RUMA - Strategic	iShares Core Conservative Allocation ETF
AOM	AOM	Blackrock iShares	RUMA - Strategic	iShares Core Moderate Allocation ETF
ARKK	ARKK	American Beacon	RUMA - Alternative	ARK Innovation ETF
BNDX	BNDX	Vanguard	RUMA – Income	Vanguard Total International Bond ETF
BSJO	BSJO	Invesco	RUMA - Income	Invesco BulletShares 2024 HY Corp Bd ETF
EVCLX	EVCLX	E-Valuator Funds	RUMA – Strategic	E-Valuator Conservative Risk Managed
EVGLX	EVGLX	E-Valuator Funds	RUMA – Strategic	E-Valuator Growth Risk Managed

Glossary

Symbol	Ticker	Sub-Advisor	Category	Product Name
EVMX	EVMX	E-valuator Funds	RUMA – Strategic	E-Valuator Moderate Risk Managed
IAU	IAU	BlackRock iShares	RUMA – Alternative	iShares Gold Trust
ICF	ICF	BlackRock iShares	RUMA - Income	iShares Cohen & Steers REIT ETF
IEO	IEO	BlackRock iShares	RUMA – Alternative	iShares US O&G E&P ETF
IOFIX	IOFIX	Alphacentric Funds	RUMA - Income	AlphaCentric Income Opportunities I
LINCA		Redhawk Wealth Advisors	RUMA – Income	Liquid Income Aggressive
LINCB		Redhawk Wealth Advisors	RUMA – Income	Liquid Income Municipal Bonds
LINCC		Redhawk Wealth Advisors	RUMA – Income	Liquid Income Conservative
LINCM		Redhawk Wealth Advisors	RUMA – Income	Liquid Income Moderate
RBI		Redhawk Wealth Advisors	RUMA – Income	Balanced Income
RDA		Redhawk Wealth Advisors	RUMA – Tactical	Aggressive
RDC		Redhawk Wealth Advisors	RUMA – Tactical	Conservative
RDM		Redhawk Wealth Advisors	RUMA – Tactical	Moderate
RESG		Redhawk Wealth Advisors	RUMA & Wrap – Strategic	Redhawk Environmental, Social, and Governance
RGS		Redhawk Wealth Advisors	RUMA & Wrap – Tactical	Redhawk Growth Stock
RHDS		Redhawk Wealth Advisors	RUMA & Wrap – Income	Redhawk High Dividend Stock
RHY		Redhawk Wealth Advisors	RUMA – Income	Redhawk High Yield
RSPA		Redhawk Wealth Advisors	RUMA & Wrap – Tactical	S&P 500 Aggressive

Glossary

Symbol	Ticker	Sub-Advisor	Category	Product Name
RSPC		Redhawk Wealth Advisors	RUMA & Wrap – Tactical	S&P 500 Conservative
RSPM		Redhawk Wealth Advisors	RUMA & Wrap – Tactical	S&P 500 Moderate
RTHI		Redhawk Wealth Advisors	RUMA & Wrap –Income	High Income
SLQD	SLQD	BlackRock iShares	RUMA - Income	iShares 0-5 Year Invmt Grade Corp Bd ETF
TRAE	F00000X914	Victoria Capital Management	RUMA – Strategic	VCM Target Return Aggressive
TRAI	F00000XIC4	Victoria Capital Management	RUMA – Strategic	VCM Indexed Aggressive
TRBP	F00000XS3M	Victoria Capital Management	RUMA – Strategic	Target Return Balanced Portfolio
TRCE	F00000X917	Victoria Capital Management	RUMA – Strategic	VCM Target Return Conservative
TRCI	F00000XIC5	Victoria Capital Management	RUMA – Strategic	VCM Indexed Conservative
TRME	F00000X919	Victoria Capital Management	RUMA – Strategic	VCM Target Return Moderate
TRMI	F00000XIC7	Victoria Capital Management	RUMA – Strategic	VCM Indexed Moderate
VCG	F00000XISY	Victoria Capital Management	RUMA - Strategic	VCM Growth Equity
VCGI	F000013L6C	Victoria Capital Management	RUMA - Strategic	VCM Growth and Income