



Use this form to:

- Request a rollover from your non-CollegeAmerica 529 program
- Transfer assets from your non-American Funds Coverdell Education Savings Account (ESA) to your CollegeAmerica Account
- Transfer in kind from your brokerage-held CollegeAmerica Account to your CollegeAmerica Account held at American Funds

Send this completed form to American Funds using the maps on the last page.

## 1 Type of request

Select one.

- Rollover from a non-American Funds 529, including 529s funded with UGMA/UTMA assets (You must complete Sections 2, 3, 4 and 6.)
- Transfer from a non-American Funds Coverdell ESA (You must complete Sections 2, 3, 4 and 6.)
- Transfer in kind from your brokerage-held CollegeAmerica Account (You must complete Sections 2, 5 and 6.)

## 2 Information about your CollegeAmerica Account held at American Funds

If you do not have an existing CollegeAmerica Account held at American Funds, you must also submit a completed CollegeAmerica Account Application.

Account number (if known) \_\_\_\_\_  
( ) \_\_\_\_\_

First name of Account Owner or custodian \_\_\_\_\_ MI \_\_\_\_\_ Last \_\_\_\_\_ Daytime phone \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

First name of Beneficiary \_\_\_\_\_ MI \_\_\_\_\_ Last \_\_\_\_\_

## 3 Information about the assets you want to move to American Funds

The account you are rolling over or transferring from must have the same Account Owner as the CollegeAmerica Account held at American Funds. Please contact the current plan administrator to obtain the correct mailing address.

Account number of 529 plan or ESA \_\_\_\_\_ Full name of 529 plan (if assets are from an ESA, leave blank) \_\_\_\_\_

Name of financial institution (where your current 529 plan or ESA account is held) \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

If changing the Beneficiary, is the Beneficiary listed in Section 2 a family member of the original Beneficiary?  Yes  No  
(See "Definition of a Beneficiary's family member" in the *CollegeAmerica Program Description*.)

**Note:** Generally, a custodian of a 529 account funded with UGMA/UTMA assets may not change the Beneficiary of a custodial CollegeAmerica Account. Custodians should seek advice.

- A.  Roll over or transfer all of the assets in my account to my CollegeAmerica Account held at American Funds.
- B.  Roll over or transfer a portion of the assets in my account to my CollegeAmerica Account held at American Funds.

Fund \_\_\_\_\_ \$ \_\_\_\_\_ Fund \_\_\_\_\_ \$ \_\_\_\_\_

Fund \_\_\_\_\_ \$ \_\_\_\_\_ Fund \_\_\_\_\_ \$ \_\_\_\_\_



## 4 Investment instructions

**A. Select a share class.** (If you **DO NOT** select a share class, the investments will be placed in Class 529-A shares by default.)

Class 529-A **OR**  Class 529-C (Certain Class C share funds have restrictions.) **OR**  Class 529-F-1\*

\*Class 529-F-1 shares are available only for CollegeAmerica Accounts sold through Registered Investment Advisors.

**B. Provide investment selection(s).** For a quick guide to fund names, numbers, minimums and share class restrictions, go to [www.americanfunds.com/fundguide](http://www.americanfunds.com/fundguide). (If a fund is not specified, investments will be placed in the money market fund by default.) Select one of the two options below.

Invest my contribution in the American Funds College Target Date Series.® For a list of target date funds, go to [www.americanfunds.com/fundguide](http://www.americanfunds.com/fundguide).

American Funds College \_\_\_\_\_ Fund  
Year

**OR**

Invest my contribution as instructed below.

Fund name or number	Amount	Percentage
_____	\$ _____	<b>OR</b> _____%
_____	\$ _____	<b>OR</b> _____%
_____	\$ _____	<b>OR</b> _____%
_____	\$ _____	<b>OR</b> _____%
_____	\$ _____	<b>OR</b> _____%
_____	\$ _____	<b>OR</b> _____%
_____	\$ _____	<b>OR</b> _____%
_____	\$ _____	<b>OR</b> _____%
<b>Total investments</b>	<b>\$ _____</b>	<b>OR _____%</b>

**5 Request to transfer in kind from your brokerage-held CollegeAmerica Account**

The account you are transferring from must have the same Account Owner and same Beneficiary as the CollegeAmerica Account held at American Funds. All assets must be transferred. Please contact the brokerage firm that currently holds the assets to obtain the correct mailing address.

\_\_\_\_\_  
 Brokerage-held CollegeAmerica Account number      Name of brokerage firm

\_\_\_\_\_  
 Address      City      State      ZIP

**6 Signature of Account Owner/Signature guarantee**

If required by the entity holding your assets, your signature must be guaranteed.

**X** \_\_\_\_\_ / /  
 Signature of Account Owner      Date      (mm/dd/yyyy)

**This document may not be signed using Adobe Acrobat Reader's "fill and sign" feature.**

If required, signatures must be guaranteed by a bank, savings association, credit union, member firm of a domestic stock exchange or the Financial Industry Regulatory Authority that is an eligible guarantor institution. **A notary public is NOT an acceptable guarantor.** The guarantee must be in the form of a stamp or a typewritten or handwritten guarantee that is accompanied by a raised corporate seal.

**GUARANTOR:**  
 Stamp signature guarantee here.

**DO NOT WRITE BELOW THIS LINE. AMERICAN FUNDS WILL COMPLETE THIS SECTION.**

**Acceptance**

**To the sending program manager:**

This is confirmation that American Funds has established the CollegeAmerica account referenced above. CollegeAmerica is a 529 college savings plan as described in Section 529 of the Internal Revenue Code. It is offered by Virginia529, an independent agency of the Commonwealth of Virginia, with American Funds as Program Manager. Rollover checks into this account should be mailed to the address below. Make the check payable to **"CollegeAmerica [name of Account Owner] FBO [Beneficiary designated in Section 2], [CollegeAmerica Account number, if known]."**


The investments should be accompanied by a statement showing the earnings portion of the rollover distribution as described in Section 529 Programs, Notice 2001-81 of the Internal Revenue Code. Any assets other than shares of American Funds must be liquidated and sent via check. For example, employer's stock, limited partnerships, etc., in the form of a certificate or in any other form cannot be registered in the name of CollegeAmerica, American Funds or any of the American Funds names.

\_\_\_\_\_  
 FBO


Accepted by **X** \_\_\_\_\_ / /  
 Signature of authorized American Funds signer      Date      (mm/dd/yyyy)

Please mail this form to the appropriate service center.  
 (If you live outside the U.S., mail the form to the Indiana Service Center.)

**Indiana Service Center**  
 American Funds Service Company  
 P.O. Box 6273  
 Indianapolis, IN 46206-6273  
**Overnight mail address**  
 12711 N. Meridian St.  
 Carmel, IN 46032-9181



**Virginia Service Center**  
 American Funds Service Company  
 P.O. Box 2713  
 Norfolk, VA 23501-2713  
**Overnight mail address**  
 5300 Robin Hood Rd.  
 Norfolk, VA 23513-2430



If you have questions or require more information, contact your financial advisor or call American Funds Service Company at (800) 421-4225.

