REDHAWK®
WEALTH ADVISORS

REDHAWK UNIFIED MANAGEMENT AGREEMENT ("RUMA") AMENDMENT

INVESTMENT MANAGEMENT ALLOCATION

Account Name: ____

This Amendment dated as of the ______ day of _____________, 20____ (the "**Effective Date**"), has been made, and entered into among ____(the "**Client**" or collectively the "**Clients**") and Redhawk Wealth Advisors, Inc., a registered investment advisor ("**Redhawk**"). Client, being duly authorized, hereby agrees to employ and retain Redhawk to act as investment manager for the Account in accordance with the following terms and conditions (the "**Agreement**").

WHEREAS, the Client and Redhawk have previously entered into an Investment Management Agreement; and

WHEREAS, the Client and Redhawk desire to amend the initial allocation of the Investment Management Agreement in accordance with the terms and conditions set forth herein; and

WHEREAS, the Client has fully disclosed and provided information to the Advisor regarding the Client's financial situation, investment objectives, financial goals, tolerance for risk, and investment time horizon (all referred to as the "**Suitability Information**"); and

WHEREAS, based on the Suitability Information, the Advisor has recommended a change to the initial allocation of the Account among the investments, taking in to consideration the investment styles, strategies, risks, and potential benefits of each investment; and

WHEREAS, the Client has reviewed and agrees with the changes and desires to reallocate their Account among the investments as set forth below.

NOW THEREFORE, in consideration of the mutual covenants, agreements, warranties and representations made and contained herein and in the Investment Management Agreement, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereby agree as follows:

1. **<u>Fees</u>.** The Account shall pay an investment management fee (the "**Fee**") according to the Fee Schedule set forth below, as updated from time to time. The Fee is based on all the Accounts that pertain to all members of an immediate family or all related businesses of a company ("**Household**").

   (a) **Advisor Fee:** Please select the amount for the appropriate investment under Section 1(b) of this Amendment.

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(b) **Investments:**

Income

Investment Company	Symbol	Average Expense Ratio	Household Assets	Maximum Portfolio Fee	Advisor Fee
BlackRock iShares *Minimum Account: $5,000*	ICF SLQD	0.34% 0.06%	$5,000 plus	0.50%	0.50%
Invesco *Minimum Account: $5,000*	BSJO	0.42%	$5,000 plus	0.50%	0.50%
Redhawk Wealth Advisors *Minimum Account: $25,000*	LINCA LINCB LINCC LINCM	0.21% 0.30% 0.11% 0.14%	$25,000 plus	0.25%	0.25%
Redhawk Wealth Advisors *Minimum Account: $5,000*	RBI	0.38%	$5,000 plus	0.50%	0.50%
Redhawk Wealth Advisors *Minimum Account: $150,000*	RHDS	0.00%	$150,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%
Redhawk Wealth Advisors *Minimum Account: $25,000*	RHY	0.31%	$25,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%
Redhawk Wealth Advisors *Minimum Account: $100,000*	RTHI	0.32%	$100,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%
Vanguard *Minimum Account: $5,000*	BNDX	0.09%	$5,000 plus	0.50%	0.50%
Victoria Capital Management *Minimum Account: $5,000*	TRBP	0.14%	$5,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.95% 0.90% 0.85% 0.80% 0.75% 0.70%	*Please select the fee below:*

Tactical

Investment Company	Symbol	Average Expense Ratio	Household Assets	Maximum Portfolio Fee	Advisor Fee
Redhawk Wealth Advisors *Minimum Account: $150,000*	RGS	0.00%	$150,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%
Redhawk Wealth Advisors *Minimum Account: $100,000*	RSPA RSPC RSPM	0.26% 0.14% 0.21%	$100,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%
Redhawk Wealth Advisors *Minimum Account: $25,000*	RDA RDC RDM	0.22% 0.10% 0.15%	$25,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%

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Strategic

Investment Company	Symbol	Average Expense Ratio	Household Assets	Maximum Portfolio Fee	Advisor Fee
E-Valuator Funds *Minimum Account: $5,000*	EVAGX EVCLX EVGLX EVMLX	0.82% 0.78% 0.78% 0.78%	$5,000 plus	0.50%	0.50%
Fidelity *Minimum Account: $5,000*	FBALX FFANX FTANX	0.55% 0.56% 0.55%	$5,000 plus	0.50%	0.50%
Redhawk Wealth Advisors *Minimum Account: $100,000*	RESG	0.74%	$100,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%	0.875% 0.750% 0.500% 0.450% 0.350% 0.250%
Schwab *Minimum Account: $5,000*	SWEGX SWHGX	0.53% 0.52%	$5,000 plus	0.50%	0.50%
Starter Accounts *Minimum Account: $1,000*	FBALX FFANX FTANX SWEGX SWHGX	0.55% 0.56% 0.55% 0.53% 0.52%	$1,000 - $4,999	$50	0.00%
Victoria Capital Management *Minimum Account: $5,000*	TRAI TRCI TRMI	0.09% 0.13% 0.12%	$5,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.95% 0.90% 0.85% 0.80% 0.75% 0.70%	*Please select the fee below:*
Victoria Capital Management *Minimum Account: $25,000*	TRAE TRCE TRME	0.09% 0.13% 0.12%	$25,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.95% 0.90% 0.85% 0.80% 0.75% 0.70%	*Please select the fee below:*
Victoria Capital Management *Minimum Account: $150,000*	VCG VCGI	0% 0%	$150,000 - $499,999 $500,000 - $999,999 $1,000,000 - $1,999,999 $2,000,000 - $2,999,999 $3,000,000 - $3,999,999 $4,000,000 plus	0.95% 0.90% 0.85% 0.80% 0.75% 0.70%	*Please select the fee below:*

Liquid Alternative

Investment Company	Symbol	Average Expense Ratio	Household Assets	Maximum Portfolio Fee	Advisor Fee
ARK Innovation ETF *Minimum Account: $5,000*	ARKK	0.75%	$5,000 plus	0.50%	0.50%
iShares Gold Trust ETF *Minimum Account: $5,000*	IAU	0.25%	$5,000 plus	0.50%	0.50%
iShares US O&G E&P ETF *Minimum Account: $5,000*	IEO	0.43%	$5,000 plus	0.50%	0.50%

Legacy Account

Investment	Household Assets	Administration Fee	Advisor Fee
Any Investment as long as it's available on the Custodian's platform. *Minimum Account: None*	Any	0.25%	0.25%

NOTE: Each account will be charged a minimum investment fee of $50 per year (plus the advisor fee) or per Fee Schedule (above), whichever is greater.

2. **Qualifying Reasons**
   Please provide below information regarding your reallocation change.

   (a) Please list and describe (in detail) what qualifying reasons have caused a review of the current investment.

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   (b) If the advisor and client have determined that another investment would be more suitable, please list and describe (in detail) those reasons below and what tools and/or systems were used to determine this recommendation.

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(c) Include the Following Documents:

☐ Investment Policy Statement ("**IPS**") from Riskalyze.

☐ Other (please provide any relevant information below).

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3. **<u>ADV Part 2 Disclosure Documents Provided to Client (please check all that apply):</u>**

☐ Form ADV Part 2 of Redhawk Wealth Advisors, Inc. and

☐ Summary Prospectus and Fact Sheet of American Beacon, or

☐ Summary Prospectus and Fact Sheet of BlackRock iShares, or

☐ Summary Prospectus and Fact Sheet of E-Valuator Funds, or

☐ Summary Prospectus and Fact Sheet of Fidelity, or

☐ Summary Prospectus and Fact Sheet of Invesco, or

☐ Summary Prospectus and Fact Sheet of Schwab, or

☐ Summary Prospectus and Fact Sheet of Vanguard, or

☐ Form ADV Part 2 of Victoria Capital Management, Inc. or

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4. **Client Acknowledgement**

The Client acknowledges that the investment allocation is suitable based on the financial situation, investment goals, risk tolerance, and objectives of the Client. The Client also acknowledges that any restrictions may affect the performance of the Account and may have variations from a similarly managed account with no restrictions. The Client acknowledges that these variations could result in positive or negative performance differences for the Account as compared to the performance composite of the investment program. The amount invested in each investment must meet the minimum amount allowed.

(a) **Investment to Investment Transfer:**

Transfer From		
Account Number	Symbol	% or $

Transfer To		
Account Number	Symbol	% or $

(b) **Investment to Cash Transfer:**

Transfer From		
Account Number	Symbol	% or $

Transfer To		
Account Number	Symbol	% or $
Cash	N/A	

Reason for Moving to Cash: ____________________

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NOTE: The Account will continue to pay the investment management fee based on the investment it is transferring from in accordance to the Fee Schedule set forth under Section 1 (b) of this Agreement.

(c) **Cash to Investment Transfer:**

Transfer From		
Account Number	Symbol	% or $
Cash	N/A	

Reason for Moving Out of Cash: ____________

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Transfer To		
Account Number	Symbol	% or $

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All principals of Client must sign. Corporate officers, limited liability company members, partners, and fiduciaries must indicate the capacity in which they are acting. This Agreement may be executed in counterparts and shall be binding on the parties as if executed in one document.

Client Acknowledgement and Understanding of this Agreement.

Client Name	Client Signature	Date

Client Name	Client Signature	Date

Client Name	Client Signature	Date

Client Name	Client Signature	Date

Client Name	Client Signature	Date

Advisor Acknowledgement and Understanding of this Agreement.

Advisor Name	Advisor Signature	Date

Dan E. Hunt
Redhawk Wealth Advisors, Inc.

Signature