



<b>Restricted Securities List</b>			
<b>Security Ticker</b>	<b>Stock or ETF</b>	<b>Date Owned</b>	<b>Date Sold</b>
ACWV	E	2/3/2020	
ADBE	S	2/4/2019	
AGG	E	11/19/2019	
AGGY	E	1/28/2020	
AGZ	E	3/16/2020	
APU	S	5/6/2019	
ATVI	S	9/16/2019	
BAH	S	9/16/2019	
BIV	E	2/28/2020	
BKE	S	9/30/2019	
BKLN	E	11/7/2019	
BLD	S	10/28/2019	
BMY	S	8/26/2019	
BURL	S	9/16/2019	
CAH	S	9/30/2019	
CBRE	S	9/16/2019	
CDNS	S	1/23/2019	
CDW	S	9/16/2019	
CMG	E	2/10/2020	
CMI	S	2/14/2020	
CTAS	S	9/16/2019	
CVS	S	8/26/2019	
DHI	S	10/22/2019	
DUK	S	7/15/2019	
EDU	S	11/4/2019	
EL	S	9/16/2019	
ENIA	S	7/15/2019	
ENTG	S	11/4/2019	
EPAM	S	5/1/2019	
FICO	S	9/16/2019	
FTNT	S	11/4/2019	
FTSL	E	3/11/2020	
GAIN	E	1/27/2020	
GILD	S	9/16/2019	
GIS	S	8/26/2019	
GLOB	S	2/13/2019	

Security Ticker	Stock or ETF	Date Owned	Date Sold
GNRC	S	9/16/2019	
GOOGL	S	9/16/2019	
GOVT	E	3/11/2020	
GPN	S	1/23/2019	
GSK	S	8/12/2019	
HYG	E	3/11/2019	
IAU	E	6/10/2019	
IBM	S	9/16/2019	
IJR	E	1/23/2019	
ILTB	E	3/11/2020	
IVV	E	1/23/2019	
IWB	E	1/23/2019	
JHG	S	11/4/2019	
K	S	8/26/2019	
LM	E	2/10/2020	
LMT	S	9/16/2019	
LQD	E	1/28/2020	
LULU	S	9/16/2019	
MDYG	E	1/23/2019	
MDYV	E	1/23/2019	
MGGYX	S	2/28/2020	
MGIC	S	2/14/2020	
MNDIX	S	2/28/2020	
MPWR	S	1/23/2019	
MSCI	S	12/9/2019	
MSFT	S	2/11/2019	
MSM	S	2/14/2020	
MTCH	S	1/23/2019	
MUNI	E	3/4/2019	
NASB	S	9/30/2019	
NOW	S	2/13/2019	
NTES	S	9/16/2019	
NVR	E	12/23/2019	
NVCR	S	6/12/2019	
OMC	S	2/14/2020	
PEP	E	2/10/2020	
PFFD	E	12/23/2019	
PG	S	6/10/2019	

Security Ticker	Stock or ETF	Date Owned	Date Sold
PYPL	S	1/23/2019	
RACE	S	1/23/2019	
RGEN	S	6/19/2019	
RH	S	10/22/2019	
ROST	S	10/22/2019	
RP	S	1/23/2019	
SCHG	E	1/13/2020	
SCHM	E	1/23/2019	
SCHO	E	3/11/2020	
SCHP	E	3/9/2020	
SHY	E	3/16/2020	
SHYG	E	1/23/2019	
SNPS	S	1/23/2019	
SPLG	E	1/23/2019	
SPTS	E	3/16/2020	
STX	S	9/30/2019	
T	S	6/10/2019	
TEAM	S	1/23/2019	
TER	S	9/16/2019	
TIREX	S	2/28/2020	
TMO	S	9/16/2019	
TPX	S	10/28/2019	
TTD	S	1/23/2019	
V	S	3/14/2019	
VBK	E	1/23/2019	
VBR	E	1/23/2019	
VCIT	E	1/23/2019	
VCLT	E	7/15/2019	
VCSH	E	1/23/2019	
VEEV	S	1/23/2019	
VGLT	E	3/11/2020	
VGSH	E	1/23/2019	
VIPS	S	9/16/2019	
VIV	S	5/6/2019	
VOO	E	9/16/2019	
VTB	E	7/15/2019	
VTEB	E	7/15/2019	
VTV	E	1/23/2019	
VYM	E	1/23/2019	
VZ	S	9/30/2019	
WCG	S	11/4/2019	
WHR	E	2/10/2020	
WSTG	S	9/30/2019	
XLU	E	2/3/2020	
XLV	E	11/19/2019	
XLRE	E	1/27/2020	

Updated 3/16/2020